

# The Baring Foundation

*Report on Activities*

2012

# The Baring Foundation – People

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Courtesy of London Bubble Theatre Company

*Our grant of £18,997 was for a year-long programme involving elders living in two sheltered housing settings in Rotherhithe and Bermondsey leading to performances for the wider community.*

# Purpose and Values

## Our Purpose

is to improve the quality of life of people experiencing disadvantage and discrimination. We aim to achieve this through making grants to voluntary and other civil society organisations and by adding value including through promoting knowledge and influencing others.

## Our Values

We:

- 1** believe in the fundamental value of an independent and effective civil society both nationally and internationally. Civil society identifies new needs and ways to meet these. It engages citizens, giving them voice in a unique way, holding the powerful to account;
- 2** use our funds to strengthen voluntary sector organisations, responding flexibly; creatively and pragmatically to their needs and with a determination to achieve value for money;
- 3** aspire to help to create enduring change both in the lives of those served by the work we are funding and by building the capacity of organisations to become more sustainable and resilient;
- 4** put high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- 5** seek to build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- 6** aim to treat grant-seekers and recipients with courtesy and respect; being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.



Photo courtesy of Matthew Smerdon

*Our grant of £39,926 to the Homeless World Cup Foundation is supporting the Girls Kick It project in Gulu, Uganda to create sustainable futures through sport and social enterprise.*

## Chair's Statement

It has been another challenging year for the voluntary sector. Many have struggled with their own funding as demands on their services have grown. What is striking however is that most have continued to innovate and develop new services and we have been fortunate again to be funding some really exciting and stimulating work in all three of our programmes.

I am delighted that despite our own funding challenges here at the Foundation our enthusiasm for our mission is undimmed. The trustees have taken the opportunity to begin a considered look at what we do to ensure that we are making best use of our funds. As ever this process has been both stimulating and enjoyable. This is largely as we are so ably supported in our work by our Director David Cutler. David and his deputy Matthew Smerdon provide us with excellent analysis of key issues whether it be on the broader policy front or in considering individual grants. I am delighted that this year they have had additional support from our two interns Joe and Liam. The rest of the team Barbara – who has done an excellent job with changes in management of our investment portfolio – Terry and Ann continue to provide smooth and efficient service both to us and our many grantees. They set the high standard that the Foundation is known for.

I also want to thank my fellow trustees. They demonstrate real commitment to the Foundation and the work that we do and bring a real richness of experience and views to the table. Very importantly they are also great fun to work with. I'd like to give special thanks to Katherine Garret-Cox, Janet Morrison the two Vice Chairs and Geoffrey Barnett our Treasurer who ensure that our governance runs smoothly as well as saying how sorry we were to say goodbye to Professor Ann Buchanan on her retirement from the board.

Amanda Jordan  
*Chair*



*Our grant of £30,000 to Spare Tyre Theatre Company is for a volunteer programme in London improving professional standards of future community artists working as artistic collaborators with older people.*

Spare Tyre's 'Once Upon a Time'. Photo © Patrick Baldwin

## Director's Report

We continue to work in a climate of austerity and public sector funding cuts which has a profound and damaging effect on the voluntary sector and the marginalised people it serves here and abroad. This environment, though it has many negative aspects, has challenged civil society to be more efficient and creative in its response. This has applied to the Foundation as well as the organisations we fund. The resources we can muster ourselves have reduced but we have striven to work with others to supplement these and to be bolder and more effective in the pursuit of our charitable objectives.

Trustees reviewed all the Foundation's activities at a Strategy Review held in January and concluded that these should remain in place until the end of 2014, by which time a fundamental review will have taken place. Therefore our work carried forward two main strands: the great majority of our grants funding going into three main programmes; with the balance spent on smaller Special Initiatives and on deriving learning from our funding.

The Arts programme retained its focus on work with older people and gave out a combination of project and strategic grants including to commission a new national festival across Scotland called Luminare. The International Development Programme, run jointly for the last year with the John Ellerman Foundation, gave grants for work in Africa to improve the response of civil society to the needs of long-term forced displaced people. The Strengthening the Voluntary Sector Programme undertook work to support the embattled social welfare advice sector with crucial support from other funding partners, as well as continuing to support the Panel on the Independence of the Voluntary Sector.

In terms of our Special Initiatives we: supported Comic Relief's work to capacity build advocacy by the African diaspora in the UK regarding international development; funded work to draw lessons to conclude our programme on intercultural dialogue; and gave a grant for the engagement of non-environmental voluntary organisations in work to secure public finance for energy efficiency programmes.

Since 2000, we have followed a total return strategy for the management of our investment funds and for arriving at the amount available to fund grants and operating costs. This amount includes both income arising from our portfolio and an element of long-term capital growth. Our Strategy Review concluded that the percentage used for this distribution needed to decrease in 2012 from 4.5% to 3.75% and 3.5% thereafter if we are to restore some of the recent damage to our capital. Although painful, this cut has been mitigated by a series of funding partnerships leveraging our funding as well as increasing our impact.

For the first time the Foundation has employed paid interns to enormous positive effect. We have been very fortunate to have attracted the services of Joe Randall and Liam Orton.

David Cutler  
*Director*

<b>Baring Foundation Grants – Key Facts</b>		
<b>Programmes</b>	<b>Number</b>	<b>Value (£)</b>
Arts Programme – project grants	17	394,655
Arts programme – strategic development grants	6	76,218
Joint International Development Programme grants	4	648,321
Joint International Development Programme – other grants	4	4,779
Strengthening the Voluntary Sector – Future Advice Providers Fund grants	15	964,385
Strengthening the Voluntary Sector – Future Advice Strategy Fund grants	11	296,280
<b>Special Initiatives</b>		
African Diaspora - African Development	2	53,935
Climate Change	1	100,000
Other initiatives	2	6,500
Baring Family Trustees' Discretionary Fund grants	3	13,000
<b>Total</b>	<b>65</b>	<b>£2,558,073</b>

## Arts Programme

This is the third year of at least five years in which the Foundation will dedicate its funding to the theme of arts and older people. We are particularly interested in participative work with people aged over 60 experiencing discrimination and disadvantage. The programme has been shaped by our mapping report *Ageing Artfully* published in 2009. The Foundation divided its fund into two strands: to directly fund creative work by arts organisations through project grants; and seeking to influence the broader environment for this work through more strategic grants which will in some cases leverage other funding. We commissioned an independent consultant to review the progress of our programme at its half-way point and this can be viewed in full on our website.

The Foundation received 98 applications for project grants from arts organisations in the UK with a track record of working with older people. The purpose of these projects was to creatively link residential care settings with the community. 17 grants were made all over the country, using every art form and different models. Kate Organ, the Foundation's Arts Adviser, also undertook a study of participatory practice based on grants we had made in previous years and to be published in 2013.

2012 saw the first Scotland-wide festival of creative ageing. We commissioned this festival with our partner Creative Scotland and based it with Age Scotland. The festival has been called Luminare and occurred throughout October with almost three hundred events taking place. We also commissioned Age UK Oxfordshire to build and maintain a dedicated website on arts and older people. This is called Age of Creativity and was launched by the Minister for the Arts in England, Ed Vaizey.

We funded and launched a new publication by Francois Matarasso, one of the leading commentators on the arts in the UK. *Winter Fires; Art and agency in old age* is based on a series of interviews with older artists and considers the implication of this for arts and society. *Tackling Loneliness in Older Age, the Role of the Arts* has been published in conjunction with the Campaign to End Loneliness.

Three roundtables have been held throughout the year. The first was for fellows of the Winston Churchill Memorial Trust (WCMT) who are investigating arts and older people work overseas. This is part of our strategic partnership with WCMT. Roundtable discussions on health funding and on digital arts have been accompanied by discussion papers on our website.

As well as working on arts and older people, we have, with the generous support of the Arts Council England, funded a legacy project on our former theme of arts and refugees. A consortium of Refugee Week (now called Counterpoint Arts) and Oval House have set up a dedicated website, Platforma and are planning a second biennial festival in 2013, to be held in Manchester.

**Arts Programme – Project Grants, Arts and Older People – Key Facts**

Open to application	2012 Project grants	2011 Project grants
Number of applications	98	98
Number of eligible applications	93	96
Number of grants made	17	17
Success rate of eligible applications	18%	18%
Total value of grants	£394,655	£448,135
Largest grant	£30,000	£30,000
Smallest grant	£12,000	£6,225
Average grant	£23,215	£26,361

In addition to the arts project grants there were six Arts Strategic Development grants totalling £76,218.

**Arts Committee**

Janet Morrison *Chair*  
 Mark Baring  
 David Elliott  
 Katherine Garrett-Cox  
 Ranjit Sondhi

*Staff Lead*  
 David Cutler *Director*

*Adviser*  
 Kate Organ

*Our grant of £60,000 went towards Akademi's core costs and their programme of work for older people.*



Photo courtesy of Akademi South Asian Dance in the UK

# Joint International Development Programme

The purpose of the programme is to improve the effectiveness of Non-Governmental Organisations (NGOs) and Community Based Organisations (CBOs) in Sub-Saharan Africa to address the problems arising from the long-term forced displacement of people. Grants are offered for up to five years to UK registered NGOs working with voluntary sector partners in the affected countries. Hence the relationship between UK based organisations and African partners is critical to the success of a grant.

The programme is run in collaboration with the John Ellerman Foundation. All decisions are taken together and a Memorandum of Understanding governs our joint venture. £1million in funding was again available (£666,000 from the Baring Foundation with the balance from the John Ellerman Foundation).

Both Foundations are reviewing their strategic direction. As a consequence, this programme will be funded by the Baring Foundation alone in 2013 and will not run at all in 2014.

38 applications were received this year and four grants made. Up to £2,000 was given to each unsuccessful shortlisted applicant, if they brought over a partner for a second stage interview. We chose to give grants to fund work in four countries using different interventions in a variety of settings.

The Foundation asked one of our Advisers, Tina Wallace, to review our style of grant-making. This was published as *Learning from international development grant making: a review of the Baring and John Ellerman Foundations' programme*.

It is the practice of the Foundation to undertake annual field trips to witness first-hand the work of some of the organisations that we fund and to better understand the context in which they are working. In November, Baring Foundation Chair, Amanda Jordan and Deputy Director, Matthew Smerdon, undertook a fascinating trip to Uganda. They visited seven our funding partners staying in Kampala and touring Northern Uganda. We are most grateful for the time and effort of our partners in making these trips possible.

Outside the Joint Programme, the Foundation has several smaller funded initiatives. The first of these, African Diaspora – African Development, is a partnership with Comic Relief and is described in more detail in the section on Special Initiatives. Last year we also gave a one-off grant to the Charity Commission to work in South Africa with both the Government and civil society on the better regulation of voluntary organisations. This was given out of recognition that this is a significant issue in a number of countries in Africa where the space for civil society to operate in is becoming smaller. In October David Cutler visited South Africa to discuss this work as well as participating in the African Grantmakers' conference.

In addition, the Foundation involves itself more broadly in international development. This is mainly through the Director acting as the Co-Convenor of the relevant Issue Based Network of the Association of Charitable Foundations. We also hosted a meeting on supporting civil society in Africa run by INTRAC and the key messages from this can be found on our website.

*Our grant of £166,500 is to enable five grassroots women's organisations to have a stronger voice and take an active role in addressing the effects of violence in South Sudan.*



Photo courtesy of Conciliation Resources

Joint International Development Programme Grants – Key Facts			
Open to application	2012	2011	2010
Number of applications	38	41	48
Number of eligible applications	35	38	42
Number of grants made	4	5	5
Success rate of eligible applications	11%	13%	12%
Total value of grants (Baring Foundation contribution only)	£648,321	£662,804	£662,000
Largest grant	£166,500	£166,276	£166,078
Smallest grant	£152,301	£66,600	£39,926
Average grant	£162,080	£132,560	£132,400

### Joint International Development Committee

#### Baring Foundation

Myles Wickstead *Chair*  
 Geoffrey Barnett  
 Amanda Jordan  
 Dhananjayan Sriskandarajah

*Staff Lead*  
 David Cutler *Director*

*Advisers* John Twigg, Tina Wallace

#### John Ellerman Foundation

Diana Whitworth  
 Hugh Raven

*Staff Lead*  
 Nicola Pollock *Director*

## Strengthening the Voluntary Sector

This year, Strengthening the Voluntary Sector (STVS) embarked on a new programme focusing on the social welfare legal advice sector. *Future Advice* builds on the final years of the STVS Independence programme (2006-2011) where we had looked particularly at the independence of the advice sector. However, *Future Advice* turns our attention more squarely on helping advice organisations to adapt to the hugely challenging new environment of reduced public funding, increased demand for services and the additional pressures to come as people deal with the impacts of large-scale welfare reform. STVS Working Paper no. 8, called *Future Advice*, sets out the thinking behind the programme and how it aims to help build a series of bridges to a future system of effective social welfare advice and legal support.

The programme involves a combination of grants to front-line advice agencies supporting work that will put them on more sustainable footings, and strategic grants aimed at bringing about a more supportive policy and funding environment for advice. A major development for STVS this year was the collaboration with four new funding partners. Since 2009, the Foundation has brought together grant making trusts and City law firms with a close interest in the social welfare legal advice sector. The *Future Advice* funding partnership has grown out of this network, with Comic Relief and The Diana, Princess of Wales Memorial Fund contributing to a joint grants pot, and Unbound Philanthropy and Allen & Overy contributing to other programme costs such as providing consultancy support to grantees, capturing lessons and sharing these with the wider sector and policy makers.

By the end of the year, a total of 26 grants had been made, 15 to local advice agencies in England and Wales to carry out work such as experimenting with new ways of working, developing new forms of income or working in new partnerships, and 11 strategic grants. These included support for the establishment of the *Low Commission* on the future of advice and legal support. Under the Chairmanship of Lord Low of Dalston, we hope that this will help to ensure that the role and value of social welfare advice is reflected in the manifestos of the three political parties at the general election in 2015.

As with all our programmes, there is an emphasis on learning. Our capacity to do this has been boosted this year by employing our first intern, Joe Randall. Part of his work was to research and write STVS Working Paper no. 9 looking at how the whole concept of early action relates to advice. 2013 will see the publication and dissemination of further material generated by the programme.

Alongside grants, the Foundation continues to engage in policy and wider funding circles in its own right. For example, we have worked with the Cabinet Office and the Big Lottery Fund and are keen to ensure that support, such as the *Advice Services Transition Fund*, that has been made available to the advice sector has the greatest possible impact. Although the end of 2011 saw STVS draw its focus on independence to a close, we reflected some of the lessons of that programme in establishing a five-year initiative called the *Panel on the Independence of the Voluntary Sector*. This brings together a group of authoritative sector figures to make a regular public statement on the state of voluntary sector independence in order to stimulate reflection, debate and action. In January 2012, the Panel published its first report, which included a helpful framework for thinking about and assessing independence, covering the three important types of independence –

purpose, voice and action. Independence matters, especially at a time of austerity, as voluntary organisations have to question the status quo, raise the profile of neglected causes and make the voices of vulnerable people heard.

Strengthening the Voluntary Sector – Future Advice Providers Fund Grants – Key Facts	
Applications – By invitation	2012
Number of applications	77
Number of grants made	15
Total value of grants made	£964,385
Largest grant	£93,272
Smallest grant	£23,900
Average grant	£64,292

In addition to the STVS Future Advice Providers Fund grants there were 11 STVS Future Advice Strategy Fund grants totalling £296,280.

### Strengthening the Voluntary Sector Committee

Andrew Hind *Chair*  
 Ann Buchanan *(to June 2012)*  
 Janet Morrison  
 Christopher Steane

*Staff Lead*  
 Matthew Smerdon *Deputy Director*

*Our grant of £75,000 is supporting Community Links to expand a pilot project delivering earlier social welfare advice interventions from community centres in east London and to track the impact of this work.*



Photo courtesy of Community Links

# Special Initiatives

## International Development

Two grants were made outside our joint programme with the John Ellerman Foundation on long-term forced displacement.

Firstly, we are part funding several posts at Comic Relief to develop advocacy undertaken by UK based African diaspora groups around international development. This is part of a much larger programme of grant support administered by Comic Relief called the Common Ground Initiative. This is the third year we have supported this work.

Secondly, we gave a grant in 2011 of £75,000 to the Charity Commission to explore the role of good regulation in allowing civil society to flourish in Africa. This is against the backdrop of space for action being restricted for voluntary organisations by a number of governments, including in Africa. Work began in South Africa supported by this funding.



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## Climate Change and the Voluntary Sector

The Foundation launched its Special Initiative on Climate Change in 2008. Since then, we have developed an interesting and productive niche around the role of non-environmental charities in action on climate change. This reflects the reality that climate change will have direct impacts on the primary charitable purpose of these organisations and, as such, they have an important role to play. Increasingly, our interest has been in how to harness the potential that influential non-environmental charities have to be effective voices in policy change.

Throughout 2011, the Foundation participated in collaboration on energy efficiency. This issue represents, particularly well, a topic where social and environmental concerns combine, encompassing poverty, mental and physical health, child development, vulnerable groups, poor quality housing as well as carbon emissions.

2011 saw important policy gains through successful lobbying on the Energy Act 2011. However, there remained concern amongst organisations that policy would not achieve all it could because of the way government intended to finance household energy efficiency. At the same time fuel poverty levels were continuing to rise at alarming rates due to increases in gas prices and because so much heat is wasted through the UK's poorly insulated homes. The permanent solution to protect people from this is home insulation and other waste-reduction measures.

In 2012 the Baring Foundation joined forces again with the European Climate Foundation, the Schroder Foundation and Tellus Mater to bring together a powerful coalition of environmental and social interests to call for the government to back energy efficiency programmes. The campaign, called the Energy Bill Revolution, started in 2012 and will build up to the general election in 2015. This year work has begun to establish the coalition and to marshal the evidence that underpins it. The Baring Foundation's support focused particularly on engaging non-environmental organisations. Additional funds were provided to Barnardo's and to the London School of Hygiene and Tropical Medicine to look at the segments of the population most vulnerable to cold homes. By the end of the year, the campaign had established the largest coalition of organisations ever gathered around this theme and made excellent progress on making the case to MPs and officials. A short film produced by the campaign can be viewed at <http://bit.ly/WgzBzo>

As in previous years, our participation in the Environmental Funders Network has been an invaluable source of information, ideas, partners and support.

## Interculturality

The Foundation started to explore this concept in 2007 and offered as a working definition:

*'Interculturalism is a dynamic process whereby people from different cultures interact to learn about each other and question their own and each other's cultures. Over time this may lead to cultural change. It recognises the inequalities at work in society and the need to overcome these. It is a process which requires mutual respect and acknowledges human rights.'*

From 2008-10, the Foundation gave expression to its interest in this area through funding the Institute of Community Cohesion to organise annual awards for good practice at the grassroots level. After the third year of these Awards we commissioned brap (Birmingham Race Action Partnership) to consider what lessons could be learnt from this initiative. We were very pleased with the results which included training, policy events and several new guides and reports now on our website.

# Learning and Convening

The Foundation sets great store by promoting learning. This has a number of purposes: to help us better understand the field we are working in and so make more effective grants (for instance through mapping studies); to assist grantees in understanding how to achieve their aims better (for instance through funding evaluations); and to deepen thinking on a topic (for instance through commissioning research or policy development). We do this in a number of ways; by writing reports ourselves; by commissioning external experts; or by funding reports as part of the grants that we make.

This was a record breaking year for the Foundation with a total of 16 reports or papers published, all of which are available free from our website. Most of these are mentioned elsewhere in this *Report on Activities*. This adds to a back catalogue of over thirty other publications. Below is a short selection of this year's reports:

- *Winter Fires; Art and agency in old age*  
By Francois Matarasso
- *Tackling Loneliness in Older Age: the Role of the Arts*  
By David Cutler
- *Learning from International Development Grant Making: a review of the Baring and John Ellerman Foundations' programme*  
By Tina Wallace
- *Protecting Independence: the voluntary sector in 2012*  
From the Panel on the Independence of the Voluntary Sector
- *Interculturalism; a handbook for promoting action*  
By Joy Warmington



It is often said that one of the greatest assets of foundations is our power to convene. The Baring Foundation does this in a variety of ways; by organising meetings at our offices, with the generous permission of our hosts, ING; through funding external meetings; and virtually, through funding (and often commissioning) websites.

We part-funded a major international conference in Dublin on creative ageing – *Creating a New Old*. We hosted a one day conference on strengthening civil society in South Africa for funders and International NGOs and funded a talk at the Royal Commonwealth Society on the same topic. We hosted a presentation by Chatham House on climate change for the Environmental Funders' Network. We have convened several meetings of the Trust and City Law Firms on a variety of topics including an exchange with the National Audit Office. Additionally we have held meetings for our STVS and for our international development grantees, for the Panel on the Independence of the Voluntary Sector, and on intercultural dialogue.

This year we have funded three dedicated websites:

- On parents with learning disabilities and their children ([www.right-support.org.uk](http://www.right-support.org.uk))
- On arts and refugees ([www.platforma.org.uk](http://www.platforma.org.uk))
- On arts and older people ([www.ageofcreativity.co.uk](http://www.ageofcreativity.co.uk))

## List of Grants Awarded in 2012

### Arts Programme – Project Grants, Arts and Older People

Grantee	Description	Amount (£)
Arts Care Gofal Celf	To support a project involving the local community, staff and residents of a new nursing home being built in Tumble in Carmarthenshire in the creation of new works of art in collaboration with artists from Arts Care Gofal Celf.	27,992
B3 Media	To support Elders - a photographic and storytelling project taking place in Peckham and Southwark, involving older people of Caribbean descent sharing stories of their journeys to and lives in Britain.	30,000
Cheshire Dance	To support 'The Moment When...' - a project connecting two care homes and two primary schools in Cheshire through dance.	30,000
Creative Arts East	To support a project in Wymondham Norfolk called 'Memories and Moving Pictures' - a collaboration between two schools, a care home, community volunteers and Creative Arts East, to create short animated films.	15,090
Foundation for Art and Creative Technology	To support Electric Blanket - a creative arts and digital media project involving residents in 10 of Arena Housing Association's sheltered housing settings across the Greater Merseyside area.	26,000
Gallery Oldham	To support a two year programme of work in care settings in Oldham, including an element of training for students in care professions, in gallery management and in visual arts; led by the artists of Arthur+Martha - specialists in arts in health.	29,920
Ikon Gallery	To support 'Life Still' - a programme of artists in residence at John Taylor Hospice in Birmingham.	30,000
Ladder to the Moon	To support Ladder to the Moon in collaboration with Jewish Care to create a programme of multi-disciplinary arts activities at Otto Schiff nursing and dementia home in London.	15,000
London Bubble Theatre Co Ltd	To support a year-long programme involving elders living in two sheltered housing settings in Rotherhithe and Bermondsey leading to performances for the wider community.	18,997
New Brewery Arts	To support a project in Gloucestershire, managed by New Brewery Arts, involving professional ceramicists collaborating with residents and staff in a residential home and with elderly carers.	12,280
Sage Gateshead	To support the artists', coordinator's and volunteers' costs in carrying out Silver Lining - a two year programme of singing in care homes and care settings for people with dementia and their families and carers in Northumbria and the NE region.	30,000

**Arts Programme – Project Grants, Arts and Older People** *continued*

Grantee	Description	Amount (£)
Scottish Poetry Library	To support Living Voices - an 18 month programme of work by storytellers and poets in care homes in three areas of Scotland.	28,500
Serpentine Trust	To support 'The Kindness of Strangers' an arts programme in partnership with care homes and older people's facilities in Westminster.	15,000
Studio 3 Arts	To support a project connecting elderly people with complex needs to their immediate community on the Gascoigne Estate in Barking, through the collaborative building of a sensory garden and arts structures making the Maples Residential Centre and adjacent sheltered housing more visible and better understood within the community.	29,664
Talking Birds Theatre Company Limited	To support a project called 'Hurdles' to take place in Coventry involving a care home, a primary school and the Herbert Art Gallery and Museum. Resulting in an exhibition of portraits and text exploring the stories of those who built post-war Coventry.	16,212
Theatre de Complicite (Education) Ltd	To support a project called TEA, designed to bring older and younger people together to share stories at Dora House in St John's Wood.	12,000
Women and Theatre (Birmingham) Ltd	To support a project in Birmingham involving six comedy courses at three residential care homes, led by community theatre worker and stand up comedienne Janice Connolly.	28,000
<b>Total of 17 grants</b>		<b>£394,655</b>

**Arts Strategic Development Grants**

Grantee	Description	Amount (£)
Arts 4 Dementia	To contribute towards the costs of an evaluation report on evidence of a programme of arts interventions from fourteen participatory arts projects at venues in London.	8,000
B arts Ltd	For research into Digital Continuing Biography in residential care settings.	5,500
Bealtaine Festival	To contribute towards the costs of an international symposium in Ireland in 2012 on arts and older people.	4,718
Creative Scotland	Contribution towards the second year of setting up and running a Festival of Arts for Older People in Scotland.	50,000
Francois Matarasso	For research to inform a mid-term review of the Arts and Older People Programme.	5,000
Magic Me	To contribute towards Magic Me planning the strategic direction of its Cocktails for Care Homes initiative in London.	3,000
<b>Total of 6 grants</b>		<b>£76,218</b>

## Joint International Development Programme Grants

*In collaboration with the John Ellerman Foundation*

The Baring Foundation's grant contribution is shown in the tables below. The John Ellerman Foundation provides a further 50% to the grant amounts shown here.

Grantee	Description	Amount (£)
Conciliation Resources	To enable five grassroots women's organisations to have a stronger voice and take an active role in addressing the effects of violence in South Sudan.	166,500
Fauna & Flora International	To build the capacity of community-based organisations to improve the socio-economic status of long-term internally displaced people in Eastern Democratic Republic of Congo.	152,301
International Bar Association's Human Rights Institute	To build the capacity of lawyers from Darfur to address violations of women's rights and to become trainers in their own communities.	163,29
Trust for Africa's Orphans	To improve the livelihoods of returning displaced women by promoting their access to, control over, and use of land in Northern Uganda.	166,223
<b>Total of 4 grants</b>		<b>£648,321</b>

## Joint International Development Programme – Other Grants

Grantee	Description	Amount (£)
Camfed International	Towards the cost of preparing the application and for the travel costs of the African NGO partner.	1,332
CORD	Towards the cost of preparing the application and for the travel costs of the African NGO partner.	1,332
Institute for War and Peace Reporting	Towards the cost of preparing the application.	783
Justice Africa	Towards the cost of preparing the application and for the travel costs of the African NGO partner.	1,332
<b>Total of 4 grants</b>		<b>£4,779</b>

### Strengthening the Voluntary Sector – Future Advice Providers Fund Grants

*This programme is jointly funded with Comic Relief, The Diana Princess of Wales Memorial Fund and Unbound Philanthropy.*

Grantee	Description	Amount (£)
AIRE Centre	To strengthen organisational management and to support strategic litigation and advocacy on the European-law rights of asylum seekers and vulnerable migrants.	73,497
Avon and Bristol Law Centre	To work with Gloucester Law Centre and Wiltshire Law Centre to develop and implement a south west regional structure, to develop sustainable sources of income and to develop relationships with key partners to tender jointly for contracts or apply for grants.	93,272
Cambridge House	To set up a training project in partnership with the Law Centres Network to provide training for housing solicitors on undertaking Legal Services Commission (LSC) certificated housing work and in recovering costs of proceedings from the other side in housing matters.	23,900
Citizens UK	To support the New Citizens Legal Service which uses community organising techniques to ensure diaspora communities access affordable and trustworthy immigration advice and representation.	75,000
Community Links Trust Limited	To expand a pilot project delivering earlier advice interventions from community centres in east London and to share the learning from this pilot nationally via the Early Action Taskforce and locally by working closely with the local authority.	75,000
Coventry Law Centre	To integrate specialist legal advice with intensive work intended to resolve complex human problems through the 'Troubled Families' programme in Coventry.	75,000
Disability Law Service	To generate income through a combination of advice, training and consultancy services.	73,019
Harrow Law Centre	To support work to participate in collaborative tenders with a particular focus on funds available from the EU, and to share the lessons of this with other advice Providers.	26,000
Manuel Bravo Project	To employ a development worker to recruit and support pro bono teams from law firms.	70,000
Northern Refugee Centre	To provide capacity building and infrastructure support to community-based advice services and to explore different service models.	74,375
Rights of Women	To support a range of internal organisational development activities.	30,000
Riverside Advice	To implement a systems thinking approach to planning and delivering advice services in Cardiff and to seek to use this to influence statutory funding for advice in Cardiff and more widely in Wales.	89,896

### Strengthening the Voluntary Sector – Future Advice Providers Fund Grants *continued*

Grantee	Description	Amount (£)
Southwark Law Centre	To develop a triage model for south east London where agencies match clients with the organisation best placed to provide assistance.	73,426
Stoke on Trent Citizens Advice Bureau	To explore three opportunities to access new funding and improve service delivery: the development of a Migration and Integration Centre of Excellence; the potential of employee assistance packages to provide unrestricted income to support advice; and the enhancement of the organisation's training offer.	75,000
Wythenshawe Advice	To carry out a programme of early intervention / preventative work through collaboration with outside agencies, particularly local housing trusts.	37,000
<b>Total of 15 Grants</b>		<b>£964,385</b>

### Strengthening the Voluntary Sector – Future Advice Strategic Fund Grants

Grantee	Description	Amount (£)
Advice UK	To support work to influence policy and practice by advancing the case for systems thinking in the advice sector.	40,042
Advice UK	To support member advice agencies to prepare applications to the Advice Services Transition Fund.	26,900
Law Centres Network	To support further development of income generation schemes.	53,968
Law Centres Network	To support Law Centres to prepare applications to the Advice Services Transition Fund.	13,000
Law for Life: The Foundation for Public Legal Education	To work with advice agencies to test the Public Legal Education Evaluation Framework and to advance knowledge and awareness of the value of Public Legal Education.	39,950
Legal Action Group	To contribute to the Low Commission on the future of advice and legal support.	50,000
Legal Action Group	To repeat an opinion poll, previously carried out in 2010 with funding from the Baring Foundation, on the availability of social welfare law services to the public and to test public support for social welfare law advice services.	8,000
Legal Aid Practitioners Group	To support the Secretariat costs of the All Party Parliamentary Group on Legal Aid.	7,920

## Strengthening the Voluntary Sector – Future Advice Strategic Fund Grants *continued*

Grantee	Description	Amount (£)
Public Law Project	To carry out development work on a proposal for a Legal Aid Support Project.	6,500
Public Law Project	To contribute to the Legal Aid Support Project.	20,000
See the Difference	To work with the Law Centres Federation and Advice UK to pilot new models of attracting public donations to advice centres.	30,000
<b>Total of 11 Grants</b>		<b>£296,280</b>

## Special Initiative Grants

### African Diaspora – African Development

Grantee	Description	Amount (£)
African Diaspora Academic Network (UK)	To support the capacity building of the African Diaspora Academic Network UK.	3,935
Comic Relief Limited	To support advocacy work.	50,000
<b>Total of 2 Grants</b>		<b>£53,935</b>

### Climate Change

Grantee	Description	Amount (£)
Stichting European Climate Foundation	To support the engagement of non-environmental voluntary organisations in work to secure public finance for large scale energy efficiency programmes.	100,00

## Other Grants

Grantee	Description	Amount (£)
Children in Crisis	To contribute towards the needs of the victims of violence in the plateau region of South Kivu, Democratic Republic of the Congo.	5,000
Royal Commonwealth Society	Towards the costs of a panel discussion and reception re strengthening civil society in developing countries.	1,500
<b>Total of 2 Grants</b>		<b>£6,500</b>

## Baring Family Trustees' Discretionary Fund Grants

Grantee	Description	Amount (£)
Dukes Barn Trust	To contribute towards the costs to replace an old building with a new purpose-built building in Baslow a Peak District village, featuring a state of the art climbing wall which will be accessible to children with disabilities.	3,000
Oxford Philomusica Piano Festival	To support Scholarships for aspiring young pianists to attend the Oxford Philomusica Piano Festival.	5,000
West London FC	Towards a project using the discipline and training of team sport to help marginalised largely ethnic minority young men from the Golborne Road, London, to improve their lives.	5,000
<b>Total of 3 Grants</b>		<b>£13,000</b>



Photo courtesy of Ainsley So and Sarah Ainslie

*Our grant of  
£12,000 to  
Complicitie to support  
a project called TEA,  
designed to bring older and  
younger people together  
to share stories at  
Dora House in St John's  
Wood, London.*

# Financial Summary

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

## Statement of financial activities (incorporating an income and expenditure account) For the year ended 31 December 2012

	Restricted £	Unrestricted £	2012 Total £	2011 Total £
<b>Incoming resources</b>				
Incoming resources from generated funds				
Voluntary income				
Donations	638,000	–	638,000	111,250
Donated services	–	215,668	215,668	203,310
Investment income	–	1,312,164	1,312,164	1,063,367
<b>Total incoming resources</b>	<b>638,000</b>	<b>1,527,832</b>	<b>2,165,832</b>	<b>1,377,927</b>
<b>Resources expended</b>				
Costs of generating funds				
Investment management	–	526,306	526,306	320,096
<b>Charitable activities</b>				
Strengthening the Voluntary Sector	151,417	1,310,421	1,461,838	705,927
Arts programme	–	580,831	580,831	662,574
International programme	–	756,626	756,626	724,214
Special Initiatives	–	196,732	196,732	260,750
Other work	–	110,036	110,036	204,768
Governance	–	31,294	31,294	33,290
<b>Total resources expended</b>	<b>151,417</b>	<b>3,512,246</b>	<b>3,663,663</b>	<b>2,911,619</b>
<b>Net outgoing resources before transfers</b>	<b>486,583</b>	<b>(1,984,414)</b>	<b>(1,497,831)</b>	<b>(1,533,692)</b>
Gross transfers between funds	–	–	–	–
<b>Net outgoing resources before revaluations and investment asset disposals</b>	<b>486,583</b>	<b>(1,984,414)</b>	<b>(1,497,831)</b>	<b>(1,533,692)</b>
Net gain / (loss) on investments	–	2,536,988	2,536,988	(2,768,374)
<b>Net movement in funds</b>	<b>486,583</b>	<b>552,574</b>	<b>1,039,157</b>	<b>(4,302,066)</b>
Funds at the start of the year	1,000	57,999,571	58,000,571	62,302,637
<b>Funds at the end of the year</b>	<b>487,583</b>	<b>58,552,145</b>	<b>59,039,728</b>	<b>58,000,571</b>

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above.

The statement of financial activities includes the income generated by the assets held in the investment portfolio and the net gains or losses on those investments. However, the Baring Foundation operates a total return policy on investments and the figures in the statement of financial activities do not reflect the distributions from the portfolio to fund the activities of the Foundation.

### Statement from the Trustees of the Baring Foundation

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 December 2012, but are not the full statutory Report and Accounts. The full financial statements were approved by the Council on 17 June 2013 and subsequently submitted to the Charity Commission and Companies House. The auditor has issued an unmodified report on the full financial statements and on the consistency of the trustees' annual report with those financial statements.

Their report on the full annual financial statements contained no statement under sections 498 (2) (a), 498 (2) (b) or 498 (3) of the Companies Act 2006.

Copies may be obtained from the Foundation's registered office at 60 London Wall, London, EC2M 5TQ.

Signed on behalf of the Trustees

Amanda Jordan, Chair  
Geoffrey Barnett, Treasurer

17 June 2013

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

**Balance Sheet as at 31 December 2012**

	£	2012 £	2011 £
<b>Fixed assets</b>			
Investments		58,830,634	58,519,664
Programme related investments		450,000	–
		<u>59,280,634</u>	<u>58,519,664</u>
<b>Current assets</b>			
Debtors	–		15,687
Cash at bank and in hand	1,938,078		1,255,140
		<u>1,938,078</u>	<u>1,270,827</u>
<b>Liabilities</b>			
Creditors: amounts due within one year	1,739,963		1,401,022
<b>Net current assets / (liabilities)</b>		<u>198,115</u>	<u>(130,195)</u>
<b>Total assets less current liabilities</b>		58,478,749	58,389,469
Creditors: amounts due after more than one year		439,021	388,898
<b>Net assets</b>		<u>59,039,728</u>	<u>58,000,571</u>
<b>Funds</b>			
Restricted funds		487,583	1,000
Unrestricted funds			
Revaluation fund		1,743,340	2,094,173
General funds		56,808,805	55,905,398
<b>Total funds</b>		<u>59,039,728</u>	<u>58,000,571</u>

**Independent auditor's statement to the trustees of Baring Foundation**

We have examined the summary financial statement for the year ended 31 December 2012, which comprises the statement of financial activities and the Balance Sheet.

**Respective responsibilities of trustees and the auditor**

The trustees are responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the financial summary and with the full annual financial statements and the Trustees' Annual Report, and its compliance with the relevant requirements of section 427 of the Companies Act 2006 and the regulations made thereunder.

We also read the other information contained in the Report on Activities and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement.

**Basis of opinion**

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the charitable company's full annual financial statements describes the basis of our opinion on those financial statements and on the Trustees' Annual Report.

**Opinion**

In our opinion the summary financial statement is consistent with the full annual financial statements and the Trustees' Annual Report of the Baring Foundation for the year ended 31 December 2012 and complies with the applicable requirements of section 427 of the Companies Act 2006, and the regulations made thereunder.

We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on 17 June 2013 and the date of this statement.

Sayer Vincent, Statutory Auditors  
8 Angel Gate  
City Road  
LONDON  
EC1V 2SJ

17 June 2013



## **ENVIRONMENTAL POLICY**

**Paper used is chlorine free and is taken from environmentally sustainable forests. Vegetable oil based inks are used by our printers instead of solvents which can damage the environment. All inks are disposed of using environmentally sound techniques.**