

The Baring Foundation

Report on Activities

2010

The Baring Foundation – People

Council of Management

Amanda Jordan O.B.E. *Chair*

Mark Baring *Chair, Investment Committee*

Geoffrey Barnett O.B.E. *Treasurer*

Professor Ann Buchanan

Professor Nicholas Deakin C.B.E. *Vice Chair. Chair, Strengthening the Voluntary Sector
Retired 25th October 2010*

Katherine Garrett-Cox

Andrew Hind C.B. *Appointed 30th September 2010*

Chair, Strengthening the Voluntary Sector Committee from 6th December 2010

Janet Morrison *Chair, Arts Committee from 29th June 2010*

Jim Peers *Chair, Arts Committee. Retired 28th June 2010*

Dr Dhananjayan Sriskandarajah

Ranjit Sondhi C.B.E.

Christopher Steane *Chair, Audit Committee*

Professor Myles Wickstead C.B.E. *Chair, Joint International Development Committee*

Office

David Cutler *Director*

Matthew Smerdon *Deputy Director*

Barbara Allerhand *Finance and IT Officer*

Ann Blyth-Tancock *Administration Officer*

Valerie Cadoret *Administration Officer*

Terry Skelhorn *Administration Officer*

Advisers

Kate Organ *Arts Programme*

Dr John Twigg *Joint International Development Programme*

Dr Tina Wallace *Joint International Development Programme*

Address

The Baring Foundation
60 London Wall
London
EC2M 5TQ

Telephone: 020 7767 1348

Fax: 020 7767 7121

E-mail: baring.foundation@uk.ing.com

Website: www.baringfoundation.org.uk

Registered charity 258583

A company limited by guarantee. Registered in England No. 950696

Contents

Purpose and Values	3
Chair’s Statement	5
Director’s Report	7
Grant Programmes:	
Arts Programme – Arts and Older People	9
Joint International Development Programme	11
Strengthening the Voluntary Sector – Independence Programme	13
Special Initiatives:	
African Diaspora – African Development	15
Climate Change and The Third Sector	15
Interculturality	17
Publications and Events	19
Lists of Grants Awarded in 2010	20
Financial Summary	27



Photo courtesy of Suffolk Artlink

Our grant of £75,000 over three years is supporting Suffolk Artlink contributing to their work with older people.

Purpose and Values

Our Purpose

is to improve the quality of life of people suffering disadvantage and discrimination. We aim to achieve this through making grants to strengthen voluntary sector organisations which serve them directly or indirectly and by the added value we bring to this.

Our Values

We:

- 1** believe in the fundamental value to society of an independent and effective voluntary sector;
- 2** use our funds to strengthen voluntary sector organisations, responding flexibly, creatively and pragmatically to their needs and with a determination to achieve value for money;
- 3** put high value on learning from organisations and their beneficiaries. We seek to add value to our grants by encouraging the communication of knowledge through a variety of means, including influencing others;
- 4** seek to build positive, purposeful relationships with grant recipients, as well as with other grant makers;
- 5** aim to treat grant-seekers and recipients with courtesy and respect, being as accessible as possible within clear programme guidelines and maintaining consistently high standards of administrative efficiency.



Photo courtesy of Children in Crisis

*Our grant of
£165,571 over
three years, is
supporting the work of
Children in Crisis in the
Democratic Republic
of Congo.*

Chair's Statement

Reflecting on yet another busy year I feel fortunate to be part of the life of the Baring Foundation. Our funds are relatively modest but we work hard to ensure we maximise our 'convening' power to ensure that our learnings are shared widely and to use our influence on behalf of those that we work with. We seek to put our values into action in supporting an independent and effective voluntary sector and this year has been no exception.

Our trustees continue to be actively involved in programme development and our Special Initiatives looking at climate change and interculturality are two excellent examples of how trustees have introduced new ideas and approaches. This year to complement our grants in Sub-Saharan Africa we have launched another special initiative with Comic Relief supporting the work of the African Diaspora in the UK in international development. An interesting development and another example of our partnership approach.

With the grant programmes we are very encouraged by the response to our new arts theme – arts and older people. We seem to have tapped into a rich and varied seam of activity much of which is at local, community level struggling to get a voice. The international grants programme which we run jointly with the John Ellerman Foundation continues to support a small but significant portfolio of projects in Sub-Saharan Africa. In November I was one of those who had the privilege of meeting some of our inspirational African partners who often work in difficult circumstances at our first workshop in Uganda. We are all very grateful to Tim Glass the retiring Director of the John Ellerman Foundation for his contribution to this fascinating event and we wish him well in his retirement.

The Strengthening the Voluntary Sector Programme (STVS) is at an interesting crossroads completing a final year for the advice and advocacy sector and now looking forward to the development of a new grants programme.

This year we have said farewell to two of our retiring trustees – Jim Peers who has made a huge contribution to our work not least as Chair of the Arts Committee and Professor Nicholas Deakin who brought outstanding intellectual leadership to the STVS Committee and the Council as a whole. We wish them both well and I am delighted that we have welcomed Andrew Hind, former Chief Executive of the Charity Commission as a new trustee and Chair of STVS Committee.

I continue to be very grateful to our excellent staff team and advisers led by our Director David Cutler and Matthew Smerdon his Deputy. It is their work which has built and maintains the reputation of the Foundation.

Last but not least I would like to thank ING for their continuing generous support in providing us with our accommodation and office services. Their support means a great deal to us.

Amanda Jordan
Chair



Photo courtesy of RJ Fernandez

Our grant of £60,000 is supporting Akademi South Asian Dance in the UK in their work with older people.

Director's Report

We were very conscious this year of the pressures faced both by public finances and by much of the voluntary sector. The election result in May 2010 also meant that there will be radical changes in many of the areas in which we are working. All this demanded that we needed to be highly aware of the environment in which we are working, thinking rigorously how our very modest funds can make the most difference, whilst keeping true to our own values. Increasingly we have tailor-made our grant making response to specific situations rather than clinging on to formulas which may no longer be the most suitable.

Some things have remained the same though. We have continued to run our three long-standing grant programmes. The theme of the Arts Programme changed to Arts and Older People and this has quickly shown the huge level of demand as well as providing fertile ground for new partnership and strategic developments. The Joint International Development Programme in collaboration with the John Ellerman Foundation remained much the same. My much valued colleague Tim Glass, the Director of the John Ellerman Foundation, retired this year and his parting gift to us was to organise a superb and pioneering workshop for our African partners in Uganda. Lastly, the Strengthening the Voluntary Sector Programme culminated in some groundbreaking new grants and projects both for the broader sector as well as embattled advice and advocacy sectors.

Photo courtesy of the John Ellerman Foundation



Delegates of the Joint International Development Programme's three day workshop in Entebbe, Uganda held in November 2010.

In addition to these grant programmes, the Foundation ran three smaller Special Initiatives. It was an important year for the Climate Change and the Third Sector Special Initiative. Matthew Smerdon, the Deputy Director, wrote a publication *An Unexamined Truth* bringing together the work of the four civil society consortia that we had funded and we gave a major grant to engage wider civil society in advocacy over energy efficiency. The Foundation's interest in intercultural dialogue continued to find expression in annual *Awards for Bridging Cultures* at the grassroots level. These were organised for a third and final year very effectively by the Institute of Community Cohesion. We also launched a new Special Initiative with Comic Relief designed to maximise the impact of the African Diaspora in the UK in policy relating to international development in Africa.

Since 2000 we have followed a total return strategy both for the management of our investment funds and for arriving at the amount available to fund grants and meet our operating costs. This amount includes both income arising from our portfolio and an element of long term capital growth. This has been based on a percentage (4.5%) of the total averaged over preceding quarters, starting at the beginning of 2009.

Once more we enjoyed the use of free space within the City of London offices of ING Bank and benefitted from their administrative support in a number of ways. We highly appreciate their support which extends our resources to give grants.

Our activity over such a broad agenda is only possible because of the enviable quality of our board of trustees and my talented and experienced colleagues, staff and advisers, at the Foundation.

David Cutler
Director

Baring Foundation Grants – Key Facts		
	Number	Value (£)
Arts Programme – core grants	10	634,965
Joint International Development Programme – core grants	5	662,000
Joint International Development Programme – other grants	4	4,000
Strengthening the Voluntary Sector – Independence Programme	9	841,034
Strengthening the Voluntary Sector – other grants	5	51,500
<i>Special Initiatives</i>		
African Diaspora – African Development	2	30,000
Climate Change and the Third Sector	4	109,464
Interculturality	1	150,000
Baring Family Trustees' Fund	3	13,500
Total	43	£2,496,463

Arts Programme – Arts and Older People

The overall aim of the programme is to support the provision of opportunities to participate in the arts in educational and community settings for individuals or groups whose access to the arts is limited.

This was the first year, of at least five, in which the Foundation will dedicate its funding to the theme of arts and older people. In this case we are especially interested in participative work with people over 60 years old and in particular those experiencing disadvantage or discrimination. This programme has been shaped by our mapping report *Ageing Artfully* published in 2009.

An overwhelming number (129) of eligible applications were received for a nation wide round of core costs arts funding. However, we were able to afford to fund only ten organisations. This led us to conclude that while the choice of theme had been an extremely good one we would need to change the way in which we fund, to cope with this level of demand.

This has led to us experimenting with a twin track approach. The Foundation will continue to directly fund work through an open access programme for exemplary projects. These projects will be studied for what lessons they hold for other arts organisations. The first of these grants will be made in 2011.



Photo courtesy of Roswitha Chesher

Frolic and Caper/Entelechy Seven Ages Performing company. Southbank Centre, 2010 Intergenerational dance performance.

Our core grant of £58,365 over three years is supporting Entelechy Arts Ltd in their work with older people.

The balance of our funding will be used for a wide range of work to strategically develop the field of arts and older people. Many ideas are currently under development for next year and beyond, the first of which has been to commission an evidence review of the impact of the arts on the lives of older people. So far over 250 arts organisations working with

older people in the UK have been identified but there remains precious little recognition or support for activity at a policy level – something that we hope to change.

The Foundation held roundtable meetings of experts on the role of arts festivals for older people and on arts in residential care settings, as well as a network meeting of the organisations that we are funding.

Our first partnership initiative in this area has been to join forces with the Winston Churchill Memorial Trust. For the first time in its history, the Trust has agreed to have four annual rounds of overseas travelling scholarships and has chosen the theme of arts and older people. The Foundation will be working with the Trust on what can be learnt from this programme.



Photo courtesy of Big Telly Theatre Company

Our grant of £45,000 is supporting the Big Telly Theatre Company's work with Older People.

As well as working on arts and older people, we have, with the generous support of the Arts Council England, funded a legacy project for our former programme on arts and refugees. A consortium of Refugee Week and the Oval House Theatre was awarded a grant to create and maintain a dedicated website and biennial national meetings on this topic.

Arts Programme Grants, Arts and Older People - Key Facts	
2010 Open to application	
Number of applications	132
Number of eligible applications	129
Number of core grants made	10
Success rate of eligible applications	8%
Total value of grants	£634,965
Largest grant	£75,000
Smallest grant	£45,000
Average grant	£63,497

Arts Committee

- Jim Peers *Chair (retired 28th June 2010)*
- Janet Morrison *Chair (from 29th June 2010)*
- Mark Baring
- Katherine Garrett-Cox *(from 1st March 2010)*
- Ranjit Sondhi

- Staff Lead*
David Cutler Director
- Adviser*
Kate Organ

Joint International Development Programme

The Baring Foundation

THE
JOHN ELLERMAN
FOUNDATION

The purpose of the programme is to improve the effectiveness of Non Governmental Organisations (NGOs) and Community Based Organisations (CBOs) in Sub-Saharan Africa to address the problems arising from the long-term forced displacement of people. Grants are offered for up to five years to UK registered NGOs working with voluntary sector partners in the affected countries. Hence the relationship between UK based organisations and African partners is critical to the success of a grant.

The programme is run in collaboration with the John Ellerman Foundation. All decisions are taken together and a Memorandum of Understanding governs our joint venture. £1 million in funding was again available (£666,000 from the Baring Foundation with the balance from the John Ellerman Foundation). The programme has run in a similar way since 2001 and in collaboration with the John Ellerman Foundation since 2004.

42 eligible applications were received and five grants were made. Up to £2,000 was given to each unsuccessful shortlisted applicant if they brought over a partner to the second stage interview. Once again the standard of applications was high and a diverse set of work was funded.

The departing Director of the John Ellerman Foundation, Tim Glass, along with the Adviser to the Programme, Tina Wallace, organised a three day workshop, which took place in November 2010, in Uganda for all the African partners currently funded by the Foundations. Over forty people attended including some of the trustees on the Joint International Development Committee. This was the first time that the Foundations had held a meeting in Africa and it proved to be enormously successful. The meeting was part of a strong commitment by the Foundations to learning from these grants, which begins with inception meetings with the Adviser and ends with an external and published independent evaluation.



Photo courtesy of the Centre for Community Initiatives

Our grant of £166,078, over four years, supports Homeless International's work with their partner the Centre for Community Initiatives.

A ground-breaking ceremony in March 2010 celebrated the beginning of the construction of the first pilot housing unit. Attending were representatives from Uganda, Kenya, Zambia and Swaziland, and officials including the Minister of Land and Housing.

Arising out of an initiative by the Joint International Development Committee's Chair, Myles Wickstead, the Foundation has decided to further support work by the African Diaspora in the UK to involve itself in African development and this is more fully described in the section on Special Initiatives.

In addition, the Foundation involves itself more broadly in international development. This is mainly through the Director acting as Co-Convenor of the International Development Issue Based Network of the Association of Charitable Foundations. Also, the Foundation hosted a series of meetings of large International NGOs in the UK for their umbrella group, Bond.

Joint International Development Programme Grants – Key Facts

	2010	2009	2008
Number of applications	48	49	36
Number of eligible applications	42	39	30
Number of core grants made	5	4	5
Success rate of eligible applications	12%	10%	16%
Total value of grants (Baring Foundation contribution only)	£662,000	£616,605	£629,036
Largest grant	£166,078	£166,675	£166,675
Smallest grant	£39,926	£118,096	£39,374
Average grant	£132,400	£154,151	£125,807

Joint International Development Committee

Baring Foundation

Myles Wickstead *Chair*
 Geoffrey Barnett
 Amanda Jordan
 Dhananjayan Sriskandarajah

Staff Lead
 David Cutler *Director*

Advisers
 John Twigg
 Tina Wallace

John Ellerman Foundation

Dominic Caldecott (*retired 7th July 2010*)
 Sarah Riddell
 Diana Whitworth (*from 8th March 2010*)

Staff Lead
 Tim Glass *Director*
 (*retired 19th October 2010*)

Strengthening the Voluntary Sector – Independence Programme

2010 marked the fourth year of our Strengthening the Voluntary Sector – independence grants programme. This programme has applied our longstanding commitment to supporting organisational development to an issue that cuts across the voluntary sector, namely its independence from government. Julia Unwin in a powerful speech to our Independence Summit in July 2009 said that independence is “*about the inalienable right and duty of the sector to set its own course...it is something that is central to mission, and without which mission can never be achieved*”. This spirit has driven the Foundation’s work on this topic through the grants given and the work we have done alongside the grants.

2010 saw us continuing with the focus on supporting the independence of legal advice and advocacy organisations. The impact of the public spending cuts means the work that was funded is now taking place in a significantly tougher environment. Much of this work, for example, to develop better measurement of impact, develop new models for advice, strengthen infrastructure and develop the policy role of advice organisations will help organisations to cope better in this environment but the challenges are serious.

The Foundation has also begun responding to the needs of the advice sector beyond the boundaries of the traditional grants programme approach, for example with one-off grants to the Legal Aid Practitioners Group (LAPG) and Legal Action Group (LAG) to strengthen campaigning efforts. We also continue to bring the STVS grantees together in regular network meetings to share experience and ideas.

Our grant of £10,000 over one year, is supporting the work of the Barton Hill Settlement.



Photo courtesy of the Barton Hill Settlement

In June 2010, Refugee and Migrant Justice (RMJ), a grant recipient in 2008, went into administration. This was a huge blow for RMJ’s clients, staff and supporters. The Foundation was involved in work to try to save the organisation which was sadly not successful. The effort did serve to strengthen the ties between the Baring Foundation, other trusts and foundations with an interest in this area, and the major City law firms. Two initiatives have come out of this for the advice sector – a project to provide early strategic planning and restructuring consultancy to specialist advice organisations, using experienced staff from the law firms on a *pro bono* basis and a new Advice Fund to support organisations to take forward the recommendations of these consultancies. This work will begin in 2011. Our wider work with the Trusts and City Law Firms Network for Advice has continued this year and involved the Ministry of Justice and the Legal Services Commission. One outcome of this was significant support from the Ministry of Justice for work at South West London Law Centres to try to secure that organisation. Four independent grant makers, City Bridge Trust, Esmée Fairbairn Foundation, Lankelly Chase Foundation and Trust for London joined us in funding feasibility work relating to drawing out the lessons from this work.

The Foundation also made grants to Barton Hill Settlement and Birmingham Voluntary Services Council to disseminate work done under the 2006 round of the STVS – independence programme. A review of this round of the programme will be published in 2011.

In June 2010 we published jointly with the Joseph Rowntree Foundation a report by Andrew Purkis called *Housing Associations in England and the Future of Voluntary Organisations*. The report explores what happens to the distinctive contribution and character of voluntary organisations if they take over the mass delivery of public services? It examines the history of housing associations as the outstanding example of a take-over of state services by the voluntary sector in our times.

2010 also marked the retirement from the Foundation of Professor Nicholas Deakin who chaired the STVS Grants Committee and who was so important to the development of its focus on independence. Nicholas has referred to partnership with the state as a ‘perilous enterprise’ and his wise guidance certainly helped the Foundation to navigate this difficult territory. We are very pleased that Andrew Hind, former Chief Executive of the Charity Commission, has agreed to replace Nicholas as Chair of the Committee.

Baring Foundation Grants – Key Facts		
	Number	Value (£)
STVS – Independence	9	841,034
STVS – other grants	5	51,500
Total	14	£892,534

Strengthening the Voluntary Sector Committee

Nicholas Deakin *Chair (retired October 2010)*
 Ann Buchanan
 Andrew Hind *(appointed September 2010)*
 Janet Morrison
 Christopher Steane

Staff Lead
 Matthew Smerdon – *Deputy Director*

Special Initiatives

African Diaspora – African Development

For many years the Foundation has funded NGOs in the UK founded by people from the African Diaspora and working in Africa. Last year we held a series of discussions, chaired by trustee Myles Wickstead, looking at the many aspects of the involvement of the African Diaspora in development, including for example the role of the private sector.

We concluded that, although much was going in the right direction, for instance major new grant programmes and the support of volunteering by VSO, there was a gap at the policy level. Therefore, the Foundation has entered into a partnership with Comic Relief which has also funded many African Diaspora organisations. The Foundation is funding a newly created advocacy post integrated into Comic Relief's Department for International Development funded Common Ground Initiative. The post holder will next year facilitate a number of new ways in which Diaspora organisations will be able to interact with policy makers.



© iStock/jvdwolf

Climate Change and the Third Sector

The Foundation launched a Special Initiative on Climate Change and the Third Sector in 2008. This reflected the Foundation's concern about the significant impacts of climate change predicted to occur within the next few decades. We had become particularly interested in the role of non-environmental charities in action to tackle climate change. The 2010 Marmot Review into health inequalities starkly pointed out the effects of climate change on health and well-being, especially for the most vulnerable: climate change will result in an increase in deaths, disability and injury from extreme temperature and weather conditions, heat waves, floods and storms and health hazards from chemical and sewage pollution. It will also have long-term, less direct effects on mental health through flooding and other climate-related events causing anxiety and depression particularly amongst children. Food and energy prices are also likely to increase. All this means that those that are most likely to be affected by the impacts of climate change are already those that are most vulnerable. This justifies, indeed demands, urgent responses from all voluntary organisations concerned with supporting vulnerable people.

2010 saw the culmination of four pieces of work funded by the Foundation to engage different parts of the non-environmental voluntary sector.

The Big Response, delivered by the National Council for Voluntary Organisations, Global Action Plan and Green Alliance worked with organisations that support vulnerable people. These included British Red Cross, Equinox Care, Friends of the Elderly and the Royal National Institute of Blind People.

Towards *Climate Smart Children and Youth Organisations*, delivered by National Children's Bureau and the Institute of Development Studies worked with children and youth organisations including the Pre-School Learning Alliance, Action for Children and the National Youth Agency.

Shared Energy, delivered by bassac, New Economics Foundation, Community Development Foundation, Community Sector Coalition and Groundwork worked with nine community anchor organisations.

The “Climate Refugee” roundtable delivered by Climate Outreach Information Network worked closely with five refugee and human rights organisations, the International Secretariat of Amnesty International, the Refugee Council, Refugee Action, Asylum Aid and Praxis, and had wider engagement with a further 29 refugee and human rights organisations.

Lessons from this work were published in our report *An Unexamined Truth* which also contains details of the toolkits and other resources that were produced. We saw that all the organisations involved in the project succeeded in making meaningful connections between their work and the impacts of climate change. In responding to this, organisations focused particularly on how their current and future services would have to adapt. We also saw that some organisations went on to carry out policy work but messages were quite general and most said they lacked the confidence and capacity to engage more effectively at a policy level. We remained interested, however, in how to engage the influence of the non-environmental voluntary sector.

Working with colleagues in the Environmental Funders Network, we decided to join in efforts to pursue an important policy relating to climate change and to gather specific influential voluntary organisations behind this goal.

At the end of 2010 the Foundation, therefore, awarded a grant of £100,000 to the Stichting European Climate Foundation to support a campaign to secure a high ambition energy efficiency strategy from the UK government. Progress on energy efficiency is a clear priority amongst the range of strategies for reducing greenhouse gas (GHG) emissions. It also provides a good entry-point for non-environmental voluntary organisations given its contribution to objectives such as poverty alleviation and health promotion. The campaign is being led by Friends of the Earth (FoE) and the World Wide Fund for Nature (WWF). Different independent grant makers are supporting the components of what will be a wide effort.

Interculturality

The Foundation started to explore this concept in 2007 and offered as a working definition:

Interculturality is a dynamic process whereby people from different cultures interact to learn about and question their own and each other's cultures. Over time this may lead to cultural change. It recognises the inequalities at work in society and the need to overcome these. It is a process which requires mutual respect and acknowledges human rights.

The Foundation decided to make a contribution to this process by initiating and funding awards for grassroots activity. After a competition, the Institute of Community Cohesion was appointed to run these. The awards, now called the *Awards for Bridging Cultures*, were run for a third and final year in 2010. There were almost 200 applications and shortlisted candidates were judged by a distinguished panel, chaired by the Baring Foundation trustee who inspired our interest in this area, Ranjit Sondhi.

The awards were announced at the Royal Society for Arts on 2nd December 2010 after an all day learning event looking at the experience of last year's winners and this year's shortlisted entrants. They were presented by the Attorney General Dominic Grieve, who has a longstanding interest in this issue, and who made a personal speech on the theme.

Photos courtesy of the
Institute of Community Cohesion



Ted Cantle, ICoCo, Dominic Gieves, Attorney General for England and Wales, and Ranjit Sondhi, Baring Foundation.



The Geezers receiving their award with Dominic Gieves, Attorney General.

The winners were each given £10,000 with £5,000 for the first three runners up. Public or private sector winners received a trophy. The joint winners for this category were the Northern Ireland Housing Executive for its Race Relations Charter and the Building the Bridge programme. The winner for the category of a voluntary organisation with a turnover above £100,000 was Working with Men for its ID project. The winner for the category of voluntary sector organisations with a turnover under £100,000 was Together for Peace.

The Foundation feels that the Awards have done a good job in demonstrating the size and vitality of this field of activity and in showcasing good practice. Over 400 voluntary sector organisations have applied for the prizes over the last three years. The events and the awards themselves have been highly valued by participants. Therefore the Foundation now wishes to see what can be learnt from this volume of work and next year will run an open process to call for ideas of how this can best be done.



Our grant of £165,571 over three years, is supporting the work of Children in Crisis in training teachers in South Kivu, Democratic Republic of Congo.

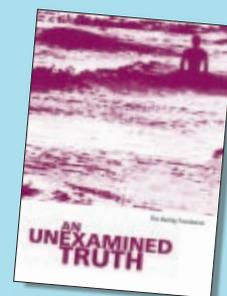
Photo courtesy of Children in Crisis

Publications and Events

Publications

- *An Unexamined Truth* By Matthew Smerdon.

The Stern Review on the Economics of Climate Change in 2006 concluded that climate change threatens the basic elements of life for people around the world. We also know that the people who will be most affected are those that are already the most vulnerable. This is a truth that has gone largely unexamined by non-environmental voluntary organisations working in the UK. This report by the Baring Foundation describes a pilot project that has supported non-environmental voluntary organisations to explore how the impacts of climate change will affect their primary charitable purpose. The approaches taken by the four groups of organisations that led the work will be of interest to all those in the voluntary sector, the independent funding community and in government that are interested in practical ways to widen the circle of organisations involved in action on climate change.



- *Housing Associations in England and the Future of Voluntary Organisations* By Andrew Purkis.

This report, funded by the Baring Foundation and the Joseph Rowntree Foundation, focuses on the timely question of what happens to voluntary organisations if they take over mass delivery of state services. This question has dominated voluntary sector practice and policy in recent times. Interestingly, Housing Associations rarely feature in the debate. Yet, this is the outstanding example of a take-over of state services by the voluntary sector in our times. The report reviews the territory and reflects a number of interviews with key people in this field. It ends with a series of challenging questions about the future for Housing Associations and the wider voluntary sector.



In addition a number of publications for 2011 were either planned or commissioned.

The Foundation has a 'back catalogue' of around 20 publications and has funded around the same number from other organisations. Almost all of these are available to download from our website which will also indicate whether free hard copies are available from the office.

Events

We held a number of meetings in the year at our offices in 60 London Wall with the kind permission of ING Bank. These are recorded elsewhere in the Report on Activities and include networking meetings for our Arts and STVS programme grantees and meetings of the Trusts and City Law Firms Network on legal aid.

Occasionally we host meetings for grantees or other such organisations and this year we held evening receptions for One World Action, on the Women's Legal Aid Centre in Tanzania and for a group of experts on Africa.

List of Grants Awarded in 2010

Summary of Grants Agreed in 2010	Value (£)
Baring Foundation Programme Grants	2,193,499
Special Initiatives	289,464
Baring Family Trustees' Fund Grants	13,500
Total	2,496,463

Arts Programme Core Costs Grants

Grantee	Geographical area	Description	Amount (£)
Akademi South Asian Dance in the UK	London	Core funding, over three years, to support a co-ordinator of dance with older people; two annual events for senior artists; documentation and evaluation of work to produce a support pack and film for wider dissemination.	60,000
Art in Hospital	Scotland	Core funding, over three years, to enable the employment of an artist co-ordinator, and develop support documentation, evaluation and publication on website as an accessible resource for others and professional development for artists.	75,000
Big Telly Theatre Company	Northern Ireland	Core funding, over three years, to support a mentoring and training programme for practitioners and older people as facilitators and to create an impact on public awareness of the often unrealised creative potential of the ageing population.	45,000
Capital Age Festival	London	Core funding, over three years, towards salary for the Director.	66,000
Chapter (Cardiff) Ltd	Wales	Core funding, over three years, to allow Chapter the opportunity to plan its work with older people effectively and to design a programme of theatre and memory work which will benefit and challenge the local and wider community.	75,000

Grantee	Geographical area	Description	Amount (£)
Entelechy Arts Ltd	London	Three year core funding towards extending the hours of the currently part-time Director in order to extend the company's capacity, stability and position as a leader in the field. To extend partnerships with Southbank Centre and Battersea Arts Centre and to develop a regional hub of older people's arts in South East London with the Albany acting as a centre of exploration of practice.	58,365
Equal Arts	North East	Core funding, over three years, enabling the development of partnerships with other organisations.	60,600
Green Candle Dance Company	London	Core funding, over three years, towards core staff costs to underpin the projects that benefit a wide range of London based elders and many existing and emerging practitioners across the country.	45,000
Library Theatre Company	North West	Core funding, over three years, to support the appointment of a development post for a consortium of cultural organisations in Manchester.	75,000
Suffolk Artlink	Eastern region	Core funding, over three years, to contribute to the salaries of a dedicated development manager and project development officer for work with older people, to increase capacity and ability to disseminate good practice and to extend training and programmes.	75,000
Total of 10 grants			£634,965

Joint International Development Programme Grants

In collaboration with the John Ellerman Foundation

The Baring Foundation's grant contribution is shown in the table below. The John Ellerman Foundation provides a further 50% to the grant amounts shown here.

Grantee	Description	Amount (£)
ARTICLE 19: Global Campaign for Free Expression	Empowering Internally Displaced People in four Provinces in Kenya to claim their 'right to know' with a view to ensure their social protection and achieve crucial rights. Grant over three years.	163,912
Busoga Association UK	Tackling systemic issues such as lack of access to education, healthcare, changing societal and women's vulnerability to poverty in Uganda. Grant over three years.	126,513
Children in Crisis	Training teachers in South Kivu, Democratic Republic of Congo and developing the capacity of Eben Ezer Ministries. Grant over three years.	165,571
Homeless International	The strengthening of Tanzanian organisations to develop a relocation project for evicted slum dwellers and set a precedent. Grant over four years.	166,078
Homeless World Cup Foundation	Creating sustainable futures through sport and social enterprise for displaced women whose lives have been destroyed by Uganda's civil war. Grant over four years.	39,926
Total of 5 grants		£662,000

Other Joint International Development Programme Grants

Grantee	Description	Amount (£)
Healthlink Worldwide	Towards the cost of preparing an application and travel costs of an African NGO partner to attend the meeting.	667
Interact Worldwide	Towards taking the application forward to the final interview process.	667
Jubilee Action	Towards the cost of preparing an application and travel costs of an African NGO partner to attend the meeting.	1,333
Restless Development	Towards the cost of preparing an application and travel costs of an African NGO partner to attend the meeting.	1,333
Total of 4 grants		£4,000

Strengthening the Voluntary Sector Independence Programme Grants

The independence programme 2010.

Grantee	Geographical area	Description	Amount (£)
Action for Advocacy	National	To recruit a Head of Policy to lead on Action for Advocacy's work creating a means of communication with Government, promoting the independence of the advocacy sector. This grant is over two years.	60,000
Advice UK	National	To secure evidence of effectiveness and economic value of the BOLD intervention, develop a sustainable method for it and secure support of central and local government. This grant is over two years.	134,789
Asylum Aid	National	To expand Asylum Aid's legal policy advocacy; strengthening the organisation's independence by enhancing its credibility and influence, and providing a potential new income stream. This grant is over two years.	60,000
Coventry Law Centre	Coventry	To develop, implement and evaluate a mixed economy model for community-based advice delivery in Coventry - to strengthen agencies involved and to influence commissioners of advice services. This grant is over three years.	150,000
Nottingham Law Centre	Nottingham	To complete the redesign of independent advice services based on evidence of client demand and to influence service commissioning and reduce unnecessary demand for advice from public service failures. This grant is over two years.	86,859
Youth Access	National	To support the youth advice sector to evidence how access to independent advice is being compromised, to provide direct support to a number of local advice providers to improve their capacity to demonstrate impact and to contribute to national policy on planning and funding youth advice services. This grant is over three years.	150,000

Grantee	Geographical area	Description	Amount (£)
Law Centres Federation	England and Wales	To develop a new business model for third sector agencies delivering specialist legal advice. This grant is over two years.	149,500
Law Centres Federation	England and Wales	To complete and disseminate research into the cost and impact of quality legal representation for immigrants and asylum seekers. This is a one year grant.	29,900
Legal Aid Practitioners Group	National	To encourage parliamentary involvement and scrutiny of Legal Aid reform by supporting the development of the All Party Parliamentary Group on Legal Aid and developing a team of Legal Aid Champions. This grant is over two years.	19,986
Total of 9 grants			£841,034

Strengthening the Voluntary Sector Independence Programme – Other Grants

Grantee	Description	Amount (£)
Andrew Purkis	To support a research project on housing associations and independence from Government.	3,500
Barton Hill Settlement	To support the development and dissemination of Barton Hill Settlement's Information Management System database. This is a one year grant.	10,000
DG Legal	To carry out feasibility and planning work on a range of activities associated with the rescue and recovery of South West London Law Centres. Part funded with four other trust and foundations: City Bridge Trust, City Parochial Foundation, Esmée Fairbairn Foundation and Lankelly Chase Foundation.	10,000
Legal Action Group	To conduct a poll on the availability of social welfare law services to the public and test public support for social welfare law advice services. This is a one year grant.	8,000
Birmingham Voluntary Service Council	To convert the Impact Toolkit into an Impact of Infrastructure Toolkit and support the take up by other local infrastructure bodies. This is a one year grant.	20,000
Total of 5 grants		£51,500

Special Initiatives

African Diaspora – African Development

Grantee	Description	Amount (£)
British Africans in Government (BIG)	An organisational development grant to help establish and advertise BIG. This grant is over one year	5,000
Comic Relief Limited	To fund a full-time policy development post over one year.	25,000
Total of 2 grants		£30,000

Climate Change

Grantee	Description	Amount (£)
British Association of Settlements & Social Action Centres	To support the design and publication of the <i>Shared Energy</i> toolkit, reflecting lessons generated by the Climate Change Special Initiative. This grant is over one year.	2,000
Climate Outreach and Information Network	To support the design and publication of three publications reflecting lessons generated by the Climate Change Special Initiative. This grant is over one year.	1,986
National Children's Bureau	To support the design and publication of the <i>Climate Smart</i> toolkit reflecting lessons generated by the Climate Change and the Third Sector Special Initiative. This grant is over one year.	5,478
Stichting European Climate Foundation	To engage non-environmental voluntary organisations in a major new campaign to secure an ambitious government energy efficiency strategy to lead to major reductions in greenhouse gas emissions. This grant is over one year.	100,000
Total of 4 grants		£109,464

Interculturality

Grantee	Description	Amount (£)
Institute of Community Cohesion	To run the Awards for Bridging Cultures 2010. This grant is for one year.	150,000
Total of 1 grant		£150,000

Baring Family Trustees' Fund

Grantee	Description	Amount (£)
Baring Primary School	Towards taking part in a "Forest School".	1,000
Haltwhistle Partnership	Towards expanding volunteering in Haltwhistle in Northumberland.	7,500
St Michael's Fellowship	Towards a mock flat for parents.	5,000
Total of 3 grants		£13,500

Financial Summary

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Statement of financial activities (incorporating an income and expenditure account) For the year ended 31 December 2010

	Restricted £	Unrestricted £	2010 Total £	2009 Total £
Incoming resources				
Incoming resources from generated funds				
Voluntary income				
Donations	19,250	–	19,250	11,750
Donated services	–	171,032	171,032	168,943
Investment income	–	1,128,063	1,128,063	994,008
Total incoming resources	19,250	1,299,095	1,318,345	1,174,701
Resources expended				
Costs of generating funds				
Investment management	–	261,340	261,340	180,372
<i>Charitable activities</i>				
Strengthening the Voluntary Sector	4,000	1,053,905	1,057,905	186,871
Arts programme	–	709,202	709,202	741,707
International programme	–	742,467	742,467	689,572
Special Initiatives	–	357,672	357,672	99,788
Other work	–	172,596	172,596	148,318
Governance	–	31,861	31,861	30,677
Total resources expended	4,000	3,329,043	3,333,043	2,077,305
Net outgoing resources before transfers	15,250	(2,029,948)	(2,014,698)	(902,604)
Gross transfers between funds	(11,250)	11,250	–	–
Net outgoing resources before transfers, revaluations and investment asset disposals	4,000	(2,018,698)	(2,014,698)	(902,604)
Net gain on investments	–	4,930,831	4,930,831	8,988,968
Net movement in funds	4,000	2,912,133	2,916,133	8,086,968
Funds at the start of the year	–	59,386,504	59,386,504	51,300,140
Funds at the end of the year	4,000	62,297,637	62,302,637	59,386,504

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above.

The statement of financial activities includes the income generated by the assets held in the investment portfolio and the net gains or losses on those investments. However, the Baring Foundation operates a total return policy on investments and the figures in the statement of financial activities do not reflect the distributions from the portfolio to fund the activities of the Foundation.

Statement from the Trustees of the Baring Foundation

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 December 2010, but are not the full statutory Report and Accounts. They may not contain sufficient information to allow a full understanding of the financial affairs of the Foundation. The full financial statements were approved by the Council on 20 June 2011 and subsequently submitted to the Charity Commission and Companies House. The auditor has issued an unmodified report on the full financial statements and on the consistency of the trustees' annual report with those financial statements.

Copies may be obtained from the Foundation's registered office at 60 London Wall, London, EC2M 5TQ.

Signed on behalf of the Trustees

Amanda Jordan, *Chair*

Geoffrey Barnett, *Treasurer*

20 June 2011

The Baring Foundation (A Company Limited by Guarantee not having a Share Capital)

Balance Sheet as at 31 December 2010

	£	2010 £	2009 £
Fixed assets			
Investments		63,637,883	60,255,332
Current assets			
Debtors	5,999		44,871
Cash at bank and in hand	910,691		1,242,647
		<u>916,690</u>	<u>1,287,518</u>
Liabilities			
Creditors: amounts due within 1 year	1,515,555		1,442,467
Net current liabilities		<u>(598,865)</u>	<u>(154,949)</u>
Total assets less current liabilities		63,039,018	60,100,383
Creditors: amounts due after more than 1 year		736,381	713,879
Net assets		<u>62,302,637</u>	<u>59,386,504</u>
Funds			
Restricted funds		4,000	–
Unrestricted funds			
Revaluation fund		7,047,350	7,729,194
General funds		55,251,287	51,657,310
Total funds		<u>62,302,637</u>	<u>59,386,504</u>

Independent auditor's statement to the trustees of Baring Foundation

We have examined the summary financial statement for the year ended 31 December 2010, which comprises the statement of financial activities and the Balance Sheet.

Respective responsibilities of trustees and the auditor

The trustees are responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the financial summary and with the full annual financial statements and the Trustees' Annual Report, and its compliance with the relevant requirements of section 427 of the Companies Act 2006 and the regulations made thereunder.

We also read the other information contained in the Report on Activities and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement.

Basis of opinion

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the charity's/charitable company's full annual financial statements describes the basis of our opinion on those financial statements and on the Trustees' Annual Report.

Opinion

In our opinion the summary financial statement is consistent with the full annual financial statements and the Trustees' Annual Report of the Baring Foundation for the year ended 31 December 2010 and complies with the applicable requirements of section 427 of the Companies Act 2006, and the regulations made thereunder.

We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on 20 June 2011 and the date of this statement.

Sayer Vincent, Statutory Auditors
8 Angel Gate
City Road, LONDON EC1V 2SJ

20 June 2011

LUMINOUS FROG ENVIRONMENTAL POLICY

Paper used is chlorine free and is taken from environmentally sustainable forests. Vegetable oil based inks are used by our printers instead of solvents which can damage the environment. All inks are disposed of using environmentally sound techniques.